

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
09/940,378	08/27/2001	Gust H. Bardy	032580.0017.UTL	2603	
22440	7590 10/07/2003		EXAMINER		
GOTTLIEB RACKMAN & REISMAN PC			DROESCH, KRISTEN L		
270 MADIS	ON AVENUE			5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 - 5 -	
8TH FLOOR			ART UNIT	PAPER NUMBER	
NEW YORK	ζ, NY 100160601		3762	1	
			DATE MAILED: 10/07/2003	, /	

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary    Application No.   Og940,378   BARDY ET AL     Examiner	•			$\wedge$	K			
Examiner   Art Unit   3762	* *		Application No.	Applicant(s)	لببا			
Firsten   Droesch   3762			09/940,378	BARDY ET AL.				
Period for Reply  A SHORTENDE STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE ② MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  Ederations of time may be available under the provision of 3 or FR 1-136(). In an event, however, may a reply be timely filled under SX (s) MONTH(S) from the mailing date of this communication.  Failure to reply separate under the mailing date of this communication, and the six (s) MONTH(S) from the mailing date of this communication.  Failure to reply visible the sole does be the maximum distilutory provide valley and will always (s) MONTH for the mailing date of this communication.  Failure to reply visible the self of the communication.  Failure to reply visible the self of the communication of the communication, event it the self date of this communication.  Failure to reply visible the self of the communication, event if the self of this communication.  Failure to reply visible the self of this communication, event if the self of this communication.  Failure to reply visible the self of this communication, event if the self of this communication.  Failure to reply visible the self of this communication, event if the self of this communication.  Failure to reply visible the self of this communication, event if the self of this communication.  Failure to reply visible the self of this communication of this communication.  Failure to reply visible the self of this communication.  This action is FINAL.  2b) This action is non-final.  3) Is since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4) Claim(s) Is a self of the communication of the self of the communication.  4) Claim(s) Is a self of the self of the communication of the self of the communication.  5) Claim(s) Is a self of the self of	, as e	Office Action Summary	Examiner	Art Unit				
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  Edentrations of litters may be available under the provisions of 37 CPT 1.13(b). In no event, however, may a rayly be timely filed  Effective of litters may be available under the provisions of 37 CPT 1.13(b). In no event, however, may a rayly be timely filed  Effective of the reply section daylow is less than thirty (3) days, a rayly within the statutory minimum of thirty (30) days will be considered timely.  If No period for rayly is specified above, the maintum statutory pariod will apply and will expire SIX (5) MONTHS from the mailing above of this communication.  Pallets for grayly will not fillow than three mailing date of this communication, even if timely filed, may reduce any examely patient term adjustment. See 37 CFR 1.704(b).  Status  1) □ Responsive to communication(s) filled on 28 April 2003.  2a) □ This action is FINAL.  2b) □ This action is non-final.  3) □ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4) □ Claim(s) 1.104 Is/are pending in the application.  4a) □ Claim(s) 1.104 Is/are rejected.  7) □ Claim(s) 1.104 Is/are rejected.  7) □ Claim(s) 1.104 Is/are rejected to.  3) □ Claim(s) 1.104 Is/are rejected to.  3) □ Claim(s) 1.104 Is/are rejected to.  3) □ Claim(s) 1.104 Is/are allowed.  6) □ Claim(s) 1.104 Is/are rejected to by the Examiner.  10) □ The specification is objected to by the Examiner.  11) □ The proposed drawing correction filed on 1.15 is a 1.15 i			Kristen L Droesch	3762				
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  Ententions of them may be writing the development of 37 CFR 1.78(s), in no event, however, may a raply be timely filed  If the period for reply specified above is less than thirty (30) days, a reply visitin the saladady minimum of thirty (30) days, and will be considered timely.  If the period for reply specified above is less than thirty (30) days, a reply visitin the saladady minimum of thirty (30) days, and will be period for reply specified above is less than thirty (30) days, a reply visitin the saladady minimum of thirty (30) days, and will expect (30) MONTHS from the mainty date of this communication.  False to reply visitin the set of estimated period visitin the saladady minimum of thirty (30) days, and the specification to become development of the communication.  This action is FINAL.  2b) This action is non-final.  3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4) Claim(s) 1-104 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5) Claim(s) is/are allowed.  6) Claim(s) is/are allowed.  6) Claim(s) is/are allowed.  7) Claim(s) is/are explicated to by the Examiner.  10) The drawing(s) filed on 27 August 2001 is/are: a) cacepted or b) objected to by the Examiner.  Application Papers  9) The specification is objected to by the Examiner.  10) The drawing(s) filed on 27 August 2001 is/are: a) accepted or b) disapproved by the Examiner.  11) The proposed drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner.  13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a) or (f).  13) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional applicat			ation appears on the cover sheet wi	th the correspondence address				
2a)  This action is FINAL. 2b)  This action is non-final.  3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4)  Claim(s) 1-104 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5)  Claim(s) is/are allowed.  6)  Claim(s) is/are objected to.  8)  Claim(s) are subject to restriction and/or election requirement.  Application Papers  9)  The specification is objected to by the Examiner.  10)  The drawing(s) filed on 27 August 2001 is/are: a ∑ accepted or b  objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11)  The proposed drawing correction filed on is: a) approved b  disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12)  The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13)	A SHO THE M - Exten after 3 - If the - If NO - Failur - Any re earne	DRTENED STATUTORY PERIOD FO MAILING DATE OF THIS COMMUNIC sions of time may be available under the provisions of SIX (6) MONTHS from the mailing date of this commun period for reply specified above is less than thirty (30) period for reply is specified above, the maximum statu e to reply within the set or extended period for rep	ATION.  37 CFR 1.136(a). In no event, however, may a relication. days, a reply within the statutory minimum of thirt tory period will apply and will expire SIX (6) MON ill. by statute, cause the application to become AB	oply be timely filed  (30) days will be considered timely.  THS from the mailing date of this communication.  ANDONED (35 U.S.C. § 133).				
3   Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4   Claim(s) 1-104 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5   Claim(s) is/are allowed.  6   Claim(s) is/are allowed.  6   Claim(s) is/are objected to.  8   Claim(s) are subject to restriction and/or election requirement.  Application Papers  9   The specification is objected to by the Examiner.  10   The drawing(s) filed on 27 August 2001 is/are: a) accepted or b   objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11   The proposed drawings correction filed on is: a)   approved b   disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12   The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13   Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a)   All   b)   Some * c)   None of:  1.   Certified copies of the priority documents have been received in Application No  3.   Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  15   Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a)   The translation of the foreign language provisional application has been received.  15   Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 120 and/or 121.	1)⊠	Responsive to communication(s) file	d on <u>28 <i>April</i> 2003</u> .					
closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4)	2a) <u></u> ☐	This action is <b>FINAL</b> . 2	b) This action is non-final.					
4a) Of the above claim(s) is/are withdrawn from consideration.  5) □ Claim(s) is/are allowed.  6) ☒ Claim(s) is/are rejected.  7) □ Claim(s) is/are objected to.  8) □ Claim(s) are subject to restriction and/or election requirement.  Application Papers  9) □ The specification is objected to by the Examiner.  10) ☒ The drawing(s) filed on 7 August 2001 is/are: a) ☒ accepted or b) □ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11) □ The proposed drawing correction filed on is: a) □ approved b) □ disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12) □ The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13) □ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) □ All b) □ Some * c) □ None of:  1. □ Certified copies of the priority documents have been received.  2. □ Certified copies of the priority documents have been received in Application No  3. □ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) □ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 119(e) (to a provisional application).  a) □ The translation of the foreign language provisional application has been received.  15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  Notice of References Cited (PTO-892)  4) □ Interview Summary (PTO-413) Paper No(s)	•	closed in accordance with the practic	for allowance except for formal ma ce under <i>Ex parte Quayle</i> , 1935 C.I	ters, prosecution as to the merits is D. 11, 453 O.G. 213.				
5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) is/are rejected. 7) ☐ Claim(s) is/are objected to. 8) ☐ Claim(s) are subject to restriction and/or election requirement. Application Papers 9) ☐ The specification is objected to by the Examiner. 10) ☐ The drawing(s) filed on 27 August 2001 is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). 11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner. If approved, corrected drawings are required in reply to this Office action. 12) ☐ The oath or declaration is objected to by the Examiner. Priority under 35 U.S.C. §§ 119 and 120 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) ☐ All b) ☐ Some * c) ☐ None of: 1. ☐ Certified copies of the priority documents have been received. 2. ☐ Certified copies of the priority documents have been received in Application No 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). * See the attached detailed Office action for a list of the certified copies not received. 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application). a) ☐ The translation of the foreign language provisional application has been received. 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s) 10 ☐ Interview Summary (PTO-413) Paper No(s) 51 ☐ Notice of Teristoperson's Patent Drawing Review (PTO-948) 51 ☐ Notice of Informal Patent Application (PTO-152)	4)🛛	Claim(s) 1-104 is/are pending in the a	application.					
6) Claim(s) 1-104 is/are rejected.  7) Claim(s) is/are objected to.  8) Claim(s) are subject to restriction and/or election requirement.  Application Papers  9) The specification is objected to by the Examiner.  10) The drawing(s) filed on 27 August 2001 is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s) Interview Summary (PTO-413) Paper No(s)  1) Notice of Informal Patent Application (PTO-152)		4a) Of the above claim(s) is/are	withdrawn from consideration.					
7  Claim(s) is/are objected to.  8  Claim(s) are subject to restriction and/or election requirement.  Application Papers  9  The specification is objected to by the Examiner.  10  The drawing(s) filed on 27 August 2001 is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All   b) Some * c) None of:  1 Certified copies of the priority documents have been received.  2 Certified copies of the priority documents have been received in Application No  3 Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  10 Notice of References Cited (PTO-892)  11 Notice of Informal Patent Application (PTO-152)	5) 🗌	Claim(s) is/are allowed.						
8) Claim(s) are subject to restriction and/or election requirement.  Application Papers  9) The specification is objected to by the Examiner.  10) The drawing(s) filed on 27 August 2001 is/are: a) accepted or b objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some c) None of:  1 Certified copies of the priority documents have been received.  2 Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  *See the attached detailed Office action for a list of the certified copies not received.  14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.	6)⊠ Claim(s) <u>1-104</u> is/are rejected.							
Application Papers  9) ☐ The specification is objected to by the Examiner.  10) ☑ The drawing(s) filed on 27 August 2001 is/are: a) ☑ accepted or b) ☐ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12) ☐ The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☐ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority documents have been received.  2. ☐ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) ☐ The translation of the foreign language provisional application has been received.  15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) ☒ Notice of References Cited (PTO-892)  3) ☐ Interview Summary (PTO-413) Paper No(s)  Notice of Informal Patent Application (PTO-152)	7)	7) Claim(s) is/are objected to.						
9) ☐ The specification is objected to by the Examiner.  10) ☒ The drawing(s) filed on 27 August 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11) ☐ The proposed drawing correction filed on is: a) ☐ approved b) ☐ disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12) ☐ The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. § 119 and 120  13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) ☐ All b) ☐ Some * c) ☐ None of:  1. ☐ Certified copies of the priority documents have been received.  2. ☐ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) ☐ The translation of the foreign language provisional application has been received.  15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) ☒ Notice of References Cited (PTO-892)  3 ☐ Notice of Informal Patent Application (PTO-152)	8) Claim(s) are subject to restriction and/or election requirement.							
10)  The drawing(s) filed on 27 August 2001 is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) Notice of References Cited (PTO-892)  Notice of Informal Patent Application (PTO-152)	Applicati	on Papers						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) Notice of References Cited (PTO-892)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  1) Notice of Informal Patent Application (PTO-152)	·							
11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.  If approved, corrected drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) Notice of References Cited (PTO-892)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  5) Notice of Informal Patent Application (PTO-152)	10)🛛 -							
If approved, corrected drawings are required in reply to this Office action.  12) The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) Notice of References Cited (PTO-892)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  5) Notice of Informal Patent Application (PTO-152)								
12)  The oath or declaration is objected to by the Examiner.  Priority under 35 U.S.C. §§ 119 and 120  13)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a)  All b)  Some * c)  None of:  1.  Certified copies of the priority documents have been received.  2.  Certified copies of the priority documents have been received in Application No  3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14)  Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a)  The translation of the foreign language provisional application has been received.  15)  Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1)  Notice of References Cited (PTO-892)  2)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  5)  Notice of Informal Patent Application (PTO-152)	11) 🔲 🖰			isapproved by the Examiner.				
Priority under 35 U.S.C. §§ 119 and 120  13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  1 Interview Summary (PTO-413) Paper No(s).  Notice of Informal Patent Application (PTO-152)								
13)   Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a)   All b)   Some * c)   None of:  1.	•		by the Examiner.					
a) All b) Some * c) None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) Notice of References Cited (PTO-892)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  5) Notice of Informal Patent Application (PTO-152)	-	• •		2440() (1) = (0				
1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) Notice of References Cited (PTO-892)  1) Interview Summary (PTO-413) Paper No(s)  5) Notice of Informal Patent Application (PTO-152)	•		or foreign priority under 35 U.S.C.	§ 119(a)-(d) or (f).				
2. ☐ Certified copies of the priority documents have been received in Application No  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) ☐ The translation of the foreign language provisional application has been received.  15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) ☒ Notice of References Cited (PTO-892)  2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)  5) ☐ Notice of Informal Patent Application (PTO-152)	a)[	• • • • • • • • • • • • • • • • • • • •						
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) ☐ The translation of the foreign language provisional application has been received.  15) ☒ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) ☒ Notice of References Cited (PTO-892)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  5) ☐ Notice of Informal Patent Application (PTO-152)		<del>-</del>						
application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) Notice of References Cited (PTO-892)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  4) Interview Summary (PTO-413) Paper No(s).  Notice of Informal Patent Application (PTO-152)		<del></del>						
a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) Notice of References Cited (PTO-892)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  4) Interview Summary (PTO-413) Paper No(s)  5) Notice of Informal Patent Application (PTO-152)	* 5	application from the Interna	itional Bureau (PCT Rule 17.2(a)).					
15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.  Attachment(s)  1) Notice of References Cited (PTO-892)  Notice of Draftsperson's Patent Drawing Review (PTO-948)  4) Interview Summary (PTO-413) Paper No(s)  5) Notice of Informal Patent Application (PTO-152)	14) 🗌 A	cknowledgment is made of a claim for	r domestic priority under 35 U.S.C.	§ 119(e) (to a provisional application).				
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 4) Interview Summary (PTO-413) Paper No(s) 5) Notice of Informal Patent Application (PTO-152)								
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  5) Notice of Informal Patent Application (PTO-152)	_		_					
	2) Notic	e of Draftsperson's Patent Drawing Review (PT	O-948) 5) Notice of					

Art Unit: 3762

#### **DETAILED ACTION**

# Claim Rejections - 35 USC § 112

1. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claims 27-52 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 27 recites the limitations "the power supply" in line 6, and "the capacitor" in lines 9-10. There is insufficient antecedent basis for these limitations in this claim.

## Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 4. Claims 1-3, 15, 20-21, 23, 27-29, 41, 46-47, and 49 are rejected under 35 U.S.C. 102(b) as being anticipated by Stein (4,406,286).

Regarding claims 1 and 27, Stein shows a power supply or voltage output system comprising a capacitor subsystem (17) and a battery subsystem electrically coupled to the capacitor subsystem (Col. 4, lines 41-43).

Art Unit: 3762

With respect to claims 2-3, and 28-29, Stein shows the pacing energy comprises a biphasic waveform having a peak voltage that is approximately 5 Volts to approximately 500 Volts or approximately 5 Volts to approximately 25 Volts (Fig. 3; Col 4, lines 2-7, lines 41-43; Col. 6, lines 51-66). The supply voltage of 2.8 V doubled is equal to 5.6 V.

Regarding claims 15, and 41, Stein shows the bi-phasic waveform having a pulse width between approximately 2 ms and approximately 40 ms (Col. 10, lines 55-58). If the recharge period  $T_{FR}$  is set ordinarily at 6-12 ms, the delay interval  $T_D$  is 0.5 ms, and the pulse period  $T_P$  appears to be shorter that the recharge period  $T_{FR}$  in Fig. 3, then the maximum total pulse width of the bi-phasic pulse is 24.5 ms, if it is assumed that the recharge period  $T_{FR}$  is set at the maximum of 12 ms and the pulse period  $T_P$  is equal to the recharge period  $T_{FR}$ .

With respect to claims 20-21, 23, 46-47, and 49, Stein shows the pacing energy comprises a bi-phasic waveform comprising a positive portion and negative portion each having a tilt of approximately 10% to approximately 90% (Fig. 3).

5. Claims 53 and 79 are rejected under 35 U.S.C. 102(e) as being anticipated by KenKnight (6,148,230).

Regarding claim 53, KenKnight shows an ICD comprising a housing having an electrically conductive surface on an outer surface of the housing; a lead assembly (20) coupled to the housing which does not directly contact the patient's heart or reside in the intrathoracic blood vessels; a capacitor subsystem located within the housing and electrically coupled to the electrically conductive surface of the electrode and a battery subsystem electrically coupled to the capacitor subsystem (Col. 1, lines 49-58; Col. 3, lines 60-62; Col. 4, lines 25-28; Col. 4, lines 42-50).

Art Unit: 3762

With respect to claim 79, KenKnight shows a method for supplying power to an ICD and for providing pacing energy for an ICD positioned subcutaneously between the third and twelfth rib and using a lead assembly (20) which does not directly contact the patient's heart or reside in the intrathoracic blood vessels comprising generating pacing energy, storing pacing energy, and delivering pacing energy to a patient's heart (Col. 1, lines 49-58; Col. 3, lines 60-62; Col. 4, lines 25-28; Col. 4, lines 42-50).

The functional language and introductory statements of intended use have been carefully considered but are not considered to impart any further structural limitations over the prior art.

## Claim Rejections - 35 USC § 103

- 6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 7. Claims 4-14, 16-19, 22, 24, 30-40, 42-45, 48, and 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Stein (4,406,286).

Regarding claims 4-14, and 30-40, Stein discloses the claimed invention except for the bi-phasic waveform having a peak voltage of approximately 25 Volts to approximately 50 Volts, approximately 50 Volts to approximately 75 Volts, approximately 75 Volts to approximately 100 Volts, approximately 100 Volts, approximately 150 Volts, approximately 150 Volts to approximately 200 Volts, approximately 250 Volts, approximately 350 Volts,

taught by Stein for pacing the heart.

Art Unit: 3762

approximately 350 Volts to approximately 400 Volts, approximately 400 Volts to approximately 450 Volts, and approximately 450 Volts to approximately 500 Volts. It would have been an obvious design choice to one with ordinary skill in the art at the time the invention was made to modify the bi-phasic waveform peak voltage as taught by Stein with bi-phasic waveform peak voltages of 25 Volts to approximately 50 Volts, approximately 50 Volts to approximately 75 Volts, approximately 75 Volts to approximately 100 Volts, approximately 100 Volts to approximately 150 Volts to approximately 200 Volts, approximately 200 Volts to approximately 250 Volts to approximately 300 Volts, approximately 350 Volts to approximately 350 Volts to approximately 400 Volts, approximately 450 Volts, and approximately 450 Volts to approximately 500 Volts, since applicant has not disclosed that these particular bi-phasic waveform peak voltages provide any criticality and /or unexpected results and it appears that the invention would perform equally well with any bi-phasic waveform peak voltage such as 5.6 V

With respect to claims 16-19, and 42-45, Stein discloses the claimed invention except for the bi-phasic waveform having a pulse width between approximately 2 ms and approximately 10 ms, approximately 10 ms and approximately 20 ms, approximately 20 ms and approximately 30 ms, and approximately 30 ms and approximately 40 ms. It would have been an obvious design choice to one with ordinary skill in the art at the time the invention was made to modify bi-phasic waveform pulse width as taught by Stein with bi-phasic waveforms having a pulse width between approximately 2 ms and approximately 10 ms, approximately 10 ms and approximately 20 ms, approximately 30 ms, and approximately 30 ms and

Art Unit: 3762

approximately 40 ms, since applicant has not disclosed that these particular bi-phasic waveform pulse widths provide any criticality and /or unexpected results and it appears that the invention would perform equally well with any bi-phasic waveform pulse width such as 6.5 ms to approximately 24.5 ms taught by Stein for applying pacing pulses.

Regarding claims 22, 24, 48, and 50, Stein discloses the claimed invention except for the positive and negative voltage portion of the bi-phasic waveform having a tilt of 50%. It would have been an obvious design choice to one with ordinary skill in the art at the time the invention was made to modify the tilt of the positive and negative voltage portion of the bi-phasic waveform as taught by Stein with a 50% tilt, since applicant has not disclosed that this particular tilt provides any criticality and /or unexpected results and it appears that the invention would perform equally well with any tilt such as the 10%-90% tilt taught by Stein for applying pacing pulses.

8. Claims 25, 26, 51, and 52 are rejected under 35 U.S.C. 103(a) as being unpatentable over Stein (4,406,286) in view of Florio et al. (6,519,493). Stein is as explained before. Although Stein fails to shows the bi-phasic pacing pulses are applied at a rate of approximately 40 to approximately 120 beats per minute, attention is directed to Florio et al. who teaches that pacing the heart at a rate of 60-80 bpm in order to prevent the heart from beating too slowly (Col. 1, lines 31-40). Therefore, it would have been obvious to one with ordinary skill in the art at the time the invention was made to apply the bi-phasic pacing pulses of Stein at a rate of 60-80 bpm in order to prevent the heart from beating too slowly.

Regarding claims 26, and 52, although Stein and Florio et al. fail to specifically point out that the pacing is applied after a patient's heart rate is equal or less than approximately 20

Art Unit: 3762

beats/minute, it is well known that pacing pulses are applied when a heart beat less than 50 or 60 bpm is detected. See Schaldach et al. (4,412,541; Col. 9, lines 12-17), and Baker et al. (5,261,401; Col. 2, lines 47-51) for example. Therefore, it would have been obvious to one with ordinary skill in the art at the time the invention was made to apply pacing to a patient's heart when the rate when it is equal or less than approximately 20 beats/minute.

Claims 54-55, 67-69, 80-81, and 93-95 are rejected under 35 U.S.C. 103(a) as being 9. unpatentable over KenKnight (6,148,230) in view of Herscovici (4,534,956). Although KenKnight fails to show utilizing a bi-phasic pacing pulse, attention is directed to Herscovici who shows utilizing a bi-phasic pacing pulse having a positive and negative pulse and a peak voltage of approximately 5 V to approximately 500 V and approximately 5 V to approximately 25 V and a duration of from approximately 2 ms to approximately 40 ms, from approximately 2 ms to approximately 10 ms, and from approximately 10 ms to approximately 20 ms (Col. 3, lines 25-45; Col. 63-68). Herscovici teaches that utilizing a bi-phasic waveform allows sensing of an evoked response of the heart because the electrical charges are balanced by using a bi-phasic waveform (Col. 1, line 9-Col. 2, line 36). Therefore, it would have been obvious to one with ordinary skill in the art at the time the invention was made to utilize a bi-phasic pacing pulse having a positive and negative pulse and a peak voltage of approximately 5 V to approximately 500 V and approximately 5 V to approximately 25 V and a duration of from approximately 2 ms to approximately 40 ms, from approximately 2 ms to approximately 10 ms, and from approximately 10 ms to approximately 20 ms as Herscovici teaches in order to enable sensing of an evoked response due to the electrical charge balance by using a bi-phasic waveform. The peak voltage would be between 6 V and 21 V based Ohm's law (V=IR) and the fact that the

Art Unit: 3762

impedance is between 200-700 ohms and the current is 30 mA. The total pulse width varies between 5.5 ms and 19.8 ms due to the  $T_s$  being between 0.5 ms and 1.8 ms and  $T_c$  being ten times as great as  $T_s$ .

10. Claims 56-66, 70-71, 82-92, and 96-97 are rejected under 35 U.S.C. 103(a) as being unpatentable over KenKnight (6,148,230) in view of Herscovici (4,534,956). KenKnight and Herscovici are as explained before.

Regarding claims 56-66, 82-92 KenKnight and Herscovici disclose the claimed invention except for the bi-phasic waveform has a peak voltage of approximately 25 Volts to approximately 50 Volts, approximately 50 Volts to approximately 75 Volts, approximately 75 Volts to approximately 100 Volts, approximately 100 Volts to approximately 150 Volts, approximately 150 Volts to approximately 200 Volts, approximately 200 Volts to approximately 250 Volts, approximately 250 Volts to approximately 300 Volts, approximately 300 Volts to approximately 350 Volts, approximately 350 Volts to approximately 400 Volts, approximately 400 Volts to approximately 450 Volts, and approximately 450 Volts to approximately 500 Volts. It would have been an obvious design choice to one with ordinary skill in the art at the time the invention was made to modify the bi-phasic waveform peak voltage as taught by KenKnight and Herscovici with bi-phasic waveform peak voltages of 25 Volts to approximately 50 Volts, approximately 50 Volts to approximately 75 Volts, approximately 75 Volts to approximately 100 Volts, approximately 100 Volts to approximately 150 Volts, approximately 150 Volts to approximately 200 Volts, approximately 200 Volts to approximately 250 Volts, approximately 250 Volts to approximately 300 Volts, approximately 300 Volts to approximately 350 Volts, approximately 350 Volts to approximately 400 Volts, approximately 400 Volts to approximately

Art Unit: 3762

450 Volts, and approximately 450 Volts to approximately 500 Volts since applicant has not disclosed that these particular bi-phasic waveform peak voltages provide any criticality and /or unexpected results and it appears that the invention would perform equally well with any bi-phasic waveform peak voltage such as 5.6 V taught by KenKnight and Herscovici for pacing the heart.

With respect to claims 70-71, and 96-97, KenKnight and Herscovici disclose the claimed invention except for the bi-phasic waveform having a pulse width between approximately 20 ms and approximately 30 ms, and approximately 30 ms and approximately 40 ms. It would have been an obvious design choice to one with ordinary skill in the art at the time the invention was made to modify bi-phasic waveform pulse width as taught by KenKnight and Herscovici with bi-phasic waveforms having a pulse width between approximately 20 ms and approximately 30 ms, and approximately 30 ms and approximately 40 ms, since applicant has not disclosed that these particular bi-phasic waveform pulse widths provide any criticality and /or unexpected results and it appears that the invention would perform equally well with any bi-phasic waveform pulse width such as 6.5 ms to approximately 24.5 ms taught by KenKnight and Herscovici for pacing the heart.

11. Claims 77, 78, 103, and 104 are rejected under 35 U.S.C. 103(a) as being unpatentable over KenKnight (6,148,230) in view Herscovici (4,534,956) and further in view of Florio et al. (6,519,493). KenKnight and Herscovici is as explained before. Although KenKnight and Herscovici fail to show the bi-phasic pacing pulses are applied at a rate of approximately 40 to approximately 120 beats per minute, attention is directed to Florio et al. who teaches that it is well known to pace the heart at a rate of 60-80 bpm in order to prevent the heart from beating too

Art Unit: 3762

slowly (Col. 1, lines 31-40). Therefore, it would have been obvious to one with ordinary skill in the art at the time the invention was made to apply the bi-phasic pacing pulses of KenKnight and Herscovici at a rate of 60-80 bpm in order to prevent the heart from beating too slowly.

Regarding claims 78, and 103, although KenKnight, Herscovici, and Florio et al. fail to

specifically point out that the pacing is applied after a patient's heart rate is equal or less than approximately 20 beats/minute, it is well known that pacing pulses are applied when a heart beat less than 50 or 60 bpm is detected. See Schaldach et al. (4,412,541; Col. 9, lines 12-17), and Baker et al. (5,261,401; Col. 2, lines 47-51) for example. Therefore, it would have been obvious to one with ordinary skill in the art at the time the invention was made to apply pacing to a patient's heart when the rate when it is equal or less than approximately 20 beats/minute. Claims 54-55, 67, 72-73, 75, 80-81, 93, 98-99, and 101 are rejected under 35 U.S.C. *12*. 103(a) as being unpatentable over KenKnight (6,148,230) in view of Stein (4,406,286). Although KenKnight fails to show utilizing a bi-phasic pacing pulse, attention is directed to Stein who shows utilizing a bi-phasic pacing pulse having a positive and negative pulse and a peak voltage of approximately 5 V to approximately 500 V and approximately 5 V to approximately 25 V and a duration of from approximately 2 ms to approximately 40 ms and the negative and positive portions having a tilt from 10% to 90% (Fig. 3; Col 4, lines 2-7, lines 41-43; Col. 6, lines 51-66). Stein teaches that utilizing a bi-phasic waveform reduces stimulation levels at the input of sense amplifiers (Col. 2, line 64 - Col. 3, line 2). Therefore it would have been obvious to one with ordinary skill in the art at the time the invention was made to utilize a bi-phasic pacing pulse having a positive and negative pulse and a peak voltage of approximately 5 V to approximately 500 V, or approximately 5 V to approximately 25 V and a duration of from

Art Unit: 3762

approximately 2 ms to approximately 40 ms, and a tilt of 10% to 90% as Stein teaches in order to reduce stimulation levels at the input of sense amplifiers.

Claims 56-66, 68-71,74, 76, 82-92, 94-97, 100, 102 are rejected under 35 U.S.C. 103(a) as being unpatentable over KenKnight (6,148,230) in view of Stein (4,406,286). KenKnight and Stein are as explained before.

Regarding claims 56-66, 82-92 KenKnight and Stein disclose the claimed invention except for the bi-phasic waveform has a peak voltage of approximately 25 Volts to approximately 50 Volts, approximately 50 Volts to approximately 75 Volts, approximately 75 Volts to approximately 100 Volts, approximately 100 Volts to approximately 150 Volts, approximately 150 Volts to approximately 200 Volts, approximately 200 Volts to approximately 250 Volts, approximately 250 Volts to approximately 300 Volts, approximately 300 Volts to approximately 350 Volts, approximately 350 Volts to approximately 400 Volts, approximately 400 Volts to approximately 450 Volts, and approximately 450 Volts to approximately 500 Volts. It would have been an obvious design choice to one with ordinary skill in the art at the time the invention was made to modify the bi-phasic waveform peak voltage as taught by KenKnight and Stein with bi-phasic waveform peak voltages of 25 Volts to approximately 50 Volts, approximately 50 Volts to approximately 75 Volts, approximately 75 Volts to approximately 100 Volts, approximately 100 Volts to approximately 150 Volts, approximately 150 Volts to approximately 200 Volts, approximately 200 Volts to approximately 250 Volts, approximately 250 Volts to approximately 300 Volts, approximately 300 Volts to approximately 350 Volts, approximately 350 Volts to approximately 400 Volts, approximately 400 Volts to approximately 450 Volts, and approximately 450 Volts to approximately 500 Volts since applicant has not

Art Unit: 3762

disclosed that these particular bi-phasic waveform peak voltages provide any criticality and /or unexpected results and it appears that the invention would perform equally well with any bi-phasic waveform peak voltage such as 5.6 V taught by KenKnight and Stein for pacing the heart.

With respect to claims 68-71, and 94-97, KenKnight and Stein disclose the claimed invention except for the bi-phasic waveform having a pulse width between approximately 2 ms and approximately 10 ms, approximately 10 ms and approximately 20 ms, approximately 20 ms and approximately 30 ms, and approximately 30 ms and approximately 40 ms. It would have been an obvious design choice to one with ordinary skill in the art at the time the invention was made to modify bi-phasic waveform pulse width as taught by KenKnight and Stein with bi-phasic waveforms having a pulse width between approximately 2 ms and approximately 10 ms, approximately 10 ms and approximately 20 ms, approximately 20 ms and approximately 30 ms, approximately 30 ms and approximately 40 ms, since applicant has not disclosed that these particular bi-phasic waveform pulse widths provide any criticality and /or unexpected results and it appears that the invention would perform equally well with any bi-phasic waveform pulse width such as the bi-phasic waveform pulse width of approximately 6.5 ms to approximately 24.5 ms taught by KenKnight and Stein for pacing the heart.

Regarding claims 74, 76, 100, and 102, KenKnight and Stein discloses the claimed invention except for the positive and negative voltage portion of the bi-phasic waveform having a tilt of 50%. It would have been an obvious design choice to one with ordinary skill in the art at the time the invention was made to modify the tilt of the positive and negative voltage portion of the bi-phasic waveform as taught by KenKnight and Stein with a 50% tilt, since applicant has not disclosed that this particular tilt provides any criticality and /or unexpected results and it

Art Unit: 3762

appears that the invention would perform equally well with any tilt such as the 10%-90% tilt taught by KenKnight and Stein for applying pacing pulses.

14. Claims 77, 78, 103, and 104 are rejected under 35 U.S.C. 103(a) as being unpatentable over KenKnight (6,148,230) in view Stein (4,406,286) and further in view of Florio et al. (6,519,493). KenKnight and Stein is as explained before. Although KenKnight and Stein fail to show the bi-phasic pacing pulses are applied at a rate of approximately 40 to approximately 120 beats per minute, attention is directed to Florio et al. who teaches that it is well known to pace the heart at a rate of 60-80 bpm in order to prevent the heart from beating too slowly (Col. 1, lines 31-40). Therefore, it would have been obvious to one with ordinary skill in the art at the time the invention was made to apply the bi-phasic pacing pulses of KenKnight and Stein at a rate of 60-80 bpm in order to prevent the heart from beating too slowly.

Regarding claims 78, and 103, although KenKnight, Stein, and Florio et al. fail to specifically point out that the pacing is applied after a patient's heart rate is equal or less than approximately 20 beats/minute, it is well known that pacing pulses are applied when a heart beat less than 50 or 60 bpm is detected. See Schaldach et al. (4,412,541; Col. 9, lines 12-17), and Baker et al. (5,261,401; Col. 2, lines 47-51) for example. Therefore, it would have been obvious to one with ordinary skill in the art at the time the invention was made to apply pacing to a patient's heart when the rate when it is equal or less than approximately 20 beats/minute.

Art Unit: 3762

# Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

16. Claims 1-24, 31-54, 61-84 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-24, 27-50, 53-76 of copending Application No. 09/940378. Although the conflicting claims are not identical, they are not patentably distinct from due to the fact that the only claimed difference is intended use.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

#### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Kerver et al (5,964,787) shows a pacer that generates bi-phasic stimulation pulses. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kristen L Droesch whose telephone number is 703-605-1185. The examiner can normally be reached on M-F, 10:00 am - 6:00 pm.

Art Unit: 3762

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Angie Sykes can be reached on 703-308-5181. The fax phone number for the organization where this application or proceeding is assigned is (703) 872-9306.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0858.

Krister Droesch

KENNEDY/SCHAETZLE PRIMARY EXAMINER

10-1-03